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Richard D. Alaniz, JD • Feb. 13, 2023



Credit: U.S. Small Business Administration.

It is a fundamental right of every employee to be safe in the workplace, and workplace safety is closely monitored by the Occupational Safety Health Administration (OSHA) – a branch of the U.S. Department of Labor (DOL). For more than 50 years OSHA has issued rules and guidelines, generally referred to as “standards,” intended to improve workplace safety. Employer compliance with these standards is enforced through OSHA workplace inspections and audits. The agency

conducts thousands each year and the inspections and audits serve as the primary

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Preparing For an Inspection

While employers may have no control over why or when an OSHA inspection occurs, they have a great deal of control over its results. Internal company safety audits are not mandated under OSHA regulations. However, such audits are among the best steps employers can take to prepare for an OSHA inspection. Self-audits permit the company to identify and address workplace safety hazards or unsafe practices long before OSHA makes an appearance. Thorough, wall-to-wall safety reviews should be conducted on at least an annual basis. Ensuring that deficiencies and hazardous conditions uncovered by these self-audits have been corrected is a critical next step. Monthly safety committee meetings can also help employees maintain focus on keeping their workplace safe.

In addition, confirming that required OSHA posters are conspicuously posted and the OSHA 300 logs, 300A summaries, and 301 incident reports are all current will avoid common recordkeeping violations uncovered during audits. Similarly, maintaining and keeping current training logs, equipment inspection records, and health/safety policies permits a complete response to routine document request made by the CSHO during an audit.

The Inspection

OSHA audits begin with an opening conference during which the CSHO provides the reason and scope of the audit. This is also when the CSHO is likely to request relevant safety documents such as the 300 log, Form 300A and Form 301 previously mentioned. Other documents may also be requested at this time, such as any written safety programs, notes on employee safety trainings, and a list of the chemicals kept on-site. Prior self-audit reports are also routinely requested by the CSHO. All persons who will be involved in the inspection should be in attendance, including any

employees that may participate on behalf of the employer and the union, if one

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void any privilege that might otherwise apply.

Once the physical inspection begins, a management representative should accompany the CSHO at all times. If the inspection is in response to an accident, the employer representative should restrict the review to that work area and relevant equipment. The CSHO may object, but an employer is within its rights to so limit the inspection. If the CSHO insists on inspecting other work areas, it may be advantageous to an employer to permit it, rather than be viewed as uncooperative, assuming the employer's self-audits have ensured a safe and compliant workplace.

Employee Interviews

Most OSHA workplace inspections include employee interviews. Employers are permitted to, and should, prepare employees for potential interviews. Employees should also be informed that they can refuse to speak with the CSHO. However, employers may not direct employees to refuse to speak with the CSHO. Failure to prepare employees could lead to imprecise statements or admissions by employees who may not understand the significance of the process. Management representatives are not permitted to sit in during employee interviews, but management witnesses may have company counsel present. Employers should advise all witnesses they are not obligated to sign any statement prepared by the CSHO, but if they do so, to request a copy.

The Closing Conference

At the conclusion of the on-site inspection, the CSHO will hold a closing conference with the employer representatives. The CSHO normally explains his findings and any proposed citations and potential penalties. Management representatives should request the factual basis for any proposed citations during the closing conference. If the CSHO misunderstood any facts surrounding an incident or reached unfounded

conclusions, the employer should make the necessary clarifications at the closing

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informal conference with the OSHA Area Director. It is not uncommon for proposed monetary penalties to be reduced as the result of such a conference. However, such conferences do not extend the 15-day appeal deadline.

By regularly giving workplace safety the attention it deserves, an employer will be well positioned to successfully weather an OSHA workplace inspection. More importantly, it will help ensure that the employer provides the safe working environment employees are entitled to.

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